| General information about company |  |  |
| :--- | :--- | :--- |
| Scrip code | 524703 |  |
| Name of the company | Sandu Pharmaceuticals Ltd. |  |
| Whether company is SME | No | Equity Shares |
| Class of Security | Quarterly |  |
| Type of report | 30-06-2016 |  |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) |  |  |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | Regulation 31 (1) (b) |  |
| Shareholding pattern filed under |  |  |


| Sr. No. | Particular |  | Yes/No |
| :--- | :--- | :--- | :--- |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |  |
| 2 | Whether the Listed Entity has issued any Convertible Securities? | No |  |
| 3 | Whether the Listed Entity has issued any Warrants? | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 4 | Whether the Listed Entity has any shares in locked-in? | No |  |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |  |
| 6 | Whether company has equity shares with differential voting rights? | No |  |
| 7 |  | No |  |

Table I - Summary Statement holding of specified securities

| ${ }_{\text {Categer }}^{\text {Cutay }}$ |  |  |  |  |  |  |  | Number of Voting Rights held in eachclass of securities (IX) |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  | Noof Voing (XIV) Righs |  |  |  |
|  |  |  |  |  |  |  |  | $\underset{\substack{\text { cexs } \\ \text { ciss }}}{ }$ | $\begin{array}{\|l\|l} \text { cass } \\ \text { cess } \end{array}$ | Toal |  |
| (a) |  | 10 | ${ }^{318560}$ |  |  | 318569 | 4.99 | 3188500 |  | 318850 | 44.9 |
| (8) | Public | 10683 | 3898310 |  |  | ${ }_{3895310}$ | 55.01 | 3898310 |  | 3898310 | 55.01 |
| (c) | $\left\lvert\, \begin{array}{l\|} \text { Non } \\ \text { Promoter- } \\ \text { Non Public } \end{array}\right.$ |  |  |  |  |  |  |  |  |  |  |
| (c1) | $\left\|\begin{array}{l} \text { Shanes } \\ \text { inderying } \end{array}\right\|$ |  |  |  |  |  |  |  |  |  |  |
| (c2) | $\begin{array}{\|l\|l\|} \hline \text { Slarese peld } \\ \text { beppoloce } \\ \text { Tususs } \end{array}$ |  |  |  |  |  |  |  |  |  |  |
|  | Toal | 1069 | \| 7881000 | |  |  | \| 7881000 | |  | 7881000 |  | 7081000 | 100 |

Table I - Summary Statement holding of specified securities

| Category <br> (I) | Category <br> of shareholder (II) | No. Of <br> Shares <br> Underlying <br> Outstanding convertibl securities (X) | No. of <br> Shares <br> Underlying <br> Outstanding <br> Warrants <br> (Xi) |  | Shareholding, as a \% <br> assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII) + (X) As a $\%$ of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | Number of Locked in shares (XII) |  | Number of <br> Shares <br> pledged or <br> otherwise <br> encumbered <br> (XIII) |  | Number of equity shares held in dematerialized form (XIV) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  | No. (a) | As a <br> \% of <br> total <br> Shares <br> held <br> (b) | No. (a) | As a <br> \% of <br> total <br> Shares <br> held <br> (b) |  |
| (A) | Promoter <br>  <br> Promoter <br> Group |  |  |  | 44.99 |  |  |  |  | 3185690 |
| (B) | Public |  |  |  | 55.01 |  |  |  |  | 2862460 |
| (C) | Non <br> Promoter- <br> Non Public |  |  |  |  |  |  |  |  |  |
| (C1) | Shares underlying DRs |  |  |  |  |  |  |  |  |  |
| (C2) | Shares held <br> by <br> Employee <br> Trusts |  |  |  |  |  |  |  |  |  |
|  | Total |  |  |  |  |  |  |  |  | 6048150 |


| Table II- Statement showing shareholding pattern of the Promoter and Promoter Group |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $\begin{aligned} & \text { Nos. Of } \\ & \text { shareholders } \\ & \text { (III) } \end{aligned}$ |  |  |  |  |  | Number of Voting Rights held in |  |  |  |
| Sr |  |  |  |  |  |  |  | No of Vooing (XIV) Righs |  |  |  |
|  |  |  |  |  |  |  |  | ${ }^{\text {classes }}$ ¢ | ${ }_{\text {chass }}^{\text {casy }}$ casy | Toal |  |
| A |  |  |  |  |  |  |  |  |  |  |  |
| (1) | Indian |  |  |  |  |  |  |  |  |  |  |
| (2) |  | 6 | 2228100 |  |  | 2228100 | 31.47 | 2228100 |  | 2228100 | 1.47 |
| ${ }^{\text {(d) }}$ | $\left.\right\|_{\substack{\text { Anypecificter }}}$ | 4 | 95759 |  |  | 97790 | 13.52 | 957590 |  | 957590 | 13.52 |
|  |  | 10 | ${ }_{318590}$ |  |  | ${ }_{318890} \mid$ | 4.99 | ${ }_{318590}$ |  | ${ }_{318890}$ | 4.99 |
| (2) | Forieg |  |  |  |  |  |  |  |  |  |  |
|  |  | 10 | ${ }^{118990}$ |  |  | ${ }^{188690}$ | 44.9 | 318890 |  | 3188690 | 44.99 |
| в |  |  |  |  |  |  |  |  |  |  |  |
| (1) | Institutions |  |  |  |  |  |  |  |  |  |  |
| (1) | $\begin{aligned} & \text { Financial } \\ & \text { Institutions/ } \\ & \text { Banks } \end{aligned}$ | 1 | 500 |  |  | 500 | 0.01 | 500 |  | 500 | 0.01 |
| $\underbrace{}_{\substack{\text { Sub-Toal } \\ \text { (B)I }}}$ |  | 1 | 500 |  |  | 500 | 0.01 | 500 |  | 500 | 0.01 |
| (3) | Norinistituions |  |  |  |  |  |  |  |  |  |  |
| ${ }^{\text {(a() }}$ |  | 1052 | 304429 |  |  | 304429 | 42.99 | 304429 |  | 304429 | 12.99 |
| (afii) |  | 6 | ${ }^{23383}$ |  |  | ${ }^{22383}$ | 8.8 | ${ }^{62383}$ |  | ${ }_{62388}$ | 8.8 |
| ${ }^{\text {(e) }}$ | $\begin{aligned} & \text { Any Other } \\ & \text { (specify) } \end{aligned}$ | 147 | ${ }^{229988}$ |  |  | 22998 | 3.21 | ${ }^{229988}$ |  | 22998 | 3.21 |
| Stiction |  | 10682 | ${ }^{3894810}$ |  |  | ${ }^{3848410}$ | 55 | ${ }^{3894810}$ |  | 3884810 | 5 |
|  |  |  |  |  |  |  |  |  |  |  |  |


| Total Public Shareholding $(B)=(B)(1)+$ (B) $(2)+(\mathrm{B})$ (3) | 10683 | 3895310 | 3895310 | 55.01 | 3895310 | 3895310 | 55.01 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |  |  |  |  |
| Total $(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$ | 10693 | 7081000 | 7081000 | 100 | 7081000 | 7081000 | 100 |
| Total $(\mathrm{A}+\mathrm{B}+\mathrm{C})$ | 10693 | 7081000 | 7081000 |  | 7081000 | 7081000 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of <br> Shares <br> Underlying <br> Outstanding <br> Warrants <br> (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding, as $\mathrm{a} \%$ assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a \% of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | Number of Locked in shares (XII) |  | Number of Shares pledged or otherwise encumbered (XIII) |  | Number of equity shares held in dematerialized form (XIV) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  | No. <br> (a) | As a \% of total Shares held <br> (b) |  | As a \% of total Shares held <br> (b) |  |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |  |  |  |  |  |  |  |  |
| (1) | Indian |  |  |  |  |  |  |  |  |
| (a) |  |  |  | 31.47 |  |  |  |  | 2228100 |
| (d) |  |  |  | 13.52 |  |  |  |  | 957590 |
| Sub-Total (A) <br> (1) |  |  |  | 44.99 |  |  |  |  | 3185690 |
| (2) | Foreign |  |  |  |  |  |  |  |  |
| Total <br> Shareholding of Promoter and Promoter Group (A)= $(\mathrm{A})(1)+(\mathrm{A})(2)$ |  |  |  | 44.99 |  |  |  |  | 3185690 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder |  |  |  |  |  |  |  |  |
| (1) | Institutions |  |  |  |  |  |  |  |  |
| (f) |  |  |  | 0.01 |  |  |  |  | 0 |
| Sub-Total (B) <br> (1) |  |  |  | 0.01 |  |  |  |  | 0 |
| (3) | Non-institutions |  |  |  |  |  |  |  |  |
| (a(i)) |  |  |  | 42.99 |  |  |  |  | 2021079 |
| (a(ii)) |  |  |  | 8.8 |  |  |  |  | 623383 |
| (e) |  |  |  | 3.21 |  |  |  |  | 217998 |
| Sub-Total (B) (3) |  |  |  | 55 |  |  |  |  | 2862460 |
| Total Public Shareholding <br> $(\mathrm{B})=(\mathrm{B})(1)+$ <br> $(B)(2)+(B)(3)$ |  |  |  | 55.01 |  |  |  |  | 2862460 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |  |  |  |  |  |  |
| $\begin{aligned} & \text { Total } \\ & (\mathrm{A}+\mathrm{B}+\mathrm{C} 2) \\ & \hline \end{aligned}$ |  |  |  | 100 |  |  |  |  | 6048150 |
| $\begin{aligned} & \text { Total } \\ & (\mathrm{A}+\mathrm{B}+\mathrm{C}) \end{aligned}$ |  |  |  |  |  |  |  |  | 6048150 |


| Individuals/Hindu undivided Family |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 |
| Name of the Shareholders (I) | BHASKAR <br> GOVIND <br> SANDU | UMESH B SANDU | SHUBHADA <br> PRABHAKAR <br> SANDU | SHASHANK <br> BHASKAR <br> SANDU | GEETA U SANDU | MINAL <br> SHASHANK <br> SANDU |
| PAN (II) | ABXPS2274E | ABWPS9336G | AAYPS6366F | ABWPS9337H | AGPPS8792J | ABYPS5971R |
| No. of fully paid up equity shares held (IV) | 737000 | 677100 | 10000 | 657900 | 85000 | 61100 |
| No. Of Partly paidup equity shares held (V) |  |  |  |  |  |  |
| No. Of shares underlying Depository Receipts (VI) |  |  |  |  |  |  |
| Total nos. shares held (VII) $=(\mathrm{IV})+$ $(\mathrm{V})+(\mathrm{VI})$ | 737000 | 677100 | 10000 | 657900 | 85000 | 61100 |
| Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of $(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$ | 10.41 | 9.56 | 0.14 | 9.29 | 1.2 | 0.86 |
| Number of Voting Rights held in each class of securities (IX) |  |  |  |  |  |  |
| Class eg:X | 737000 | 677100 | 10000 | 657900 | 85000 | 61100 |
| Class eg:y |  |  |  |  |  |  |
| Total | 737000 | 677100 | 10000 | 657900 | 85000 | 61100 |
| Total as a $\%$ of Total Voting rights | 10.41 | 9.56 | 0.14 | 9.29 | 1.2 | 0.86 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |  |  |  |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |  |  |  |  |  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) |  |  |  |  |  |  |
| Shareholding, as a \% assuming full conversion of convertible securities (as a percentage of diluted share | 10.41 | 9.56 | 0.14 | 9.29 | 1.2 | 0.86 |


| $\begin{aligned} & \text { capital })(\mathrm{XI})=(\mathrm{VII}) \\ & +(\mathrm{Xi})(\mathrm{a}) \mathrm{As} \mathrm{a} \% \text { of } \\ & (\mathrm{A}+\mathrm{B}+\mathrm{C} 2) \end{aligned}$ |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Number of Locked in shares (XII) |  |  |  |  |  |  |
| No. (a) |  |  |  |  |  |  |
| As a \% of total Shares held (b) |  |  |  |  |  |  |
| Number of Shares pledged or otherwise encumbered (XIII) |  |  |  |  |  |  |
| No. (a) |  |  |  |  |  |  |
| As a \% of total Shares held (b) |  |  |  |  |  |  |
| Number of equity shares held in dematerialized form (XIV) | 737000 | 677100 | 10000 | 657900 | 85000 | 61100 |
| Reason for not providing PAN |  |  |  |  |  |  |
| Reason for not providing PAN |  |  |  |  |  |  |


$(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$
Number of Locked in shares (XII)

| No. (a) |  |
| :--- | :--- | :--- |
| As a \% of total <br> Shares held (b) |  |
| Number of Shares pledged or otherwise encumbered (XIII) |  |
| No. (a) |  |
| As a \% of total <br> Shares held (b) |  |
| Number of equity <br> shares held in <br> dematerialized <br> form (XIV) | 2228100 |

Reason for not providing PAN
Reason for not providing PAN

| Any Other (specify) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Searial No. | 1 | 2 | 3 | 4 |  |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | NOUMURA REALTY AND CONSTRUCTIONS PRIVATE LIMITED | PHYBRICHEM ENGINEERS PRIVATE LIMITED | SANMARK <br> REALTY AND <br> FINANCE PRIVATE <br> LIMITED | AKSHATH FINVEST AND PROPERTIES PRIVATE LIMITED |  |
| PAN (II) | AAACN9120B | AAACP3898E | AABCS6077P | AABCA2004Q | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 4 |
| No. of fully paid up equity shares held (IV) | 219010 | 155963 | 543930 | 38687 | 957590 |
| No. Of Partly paidup equity shares held (V) |  |  |  |  |  |
| No. Of shares underlying <br> Depository <br> Receipts (VI) |  |  |  |  |  |
| Total nos. shares held (VII) $=(\mathrm{IV})+$ (V) + (VI) | 219010 | 155963 | 543930 | 38687 | 957590 |
| Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of $(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$ | 3.09 | 2.2 | 7.68 | 0.55 | 13.52 |
| Number of Voting Rights held in each class of securities (IX) |  |  |  |  |  |
| Class eg: X | 219010 | 155963 | 543930 | 38687 | 957590 |
| Class eg:y |  |  |  |  |  |
| Total | 219010 | 155963 | 543930 | 38687 | 957590 |
| Total as a $\%$ of Total Voting rights | 3.09 | 2.2 | 7.68 | 0.55 | 13.52 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |  |  |  |
| No. of Shares <br> Underlying <br> Outstanding <br> Warrants (Xi) |  |  |  |  |  |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) <br> (a) |  |  |  |  |  |


| Shareholding, as a $\%$ assuming full conversion of convertible securities (as a percentage of diluted share capital) $(\mathrm{XI})=(\mathrm{VII})$ $+(\mathrm{X})$ As a $\%$ of ( $\mathrm{A}+\mathrm{B}+\mathrm{C} 2$ ) | 3.09 | 2.2 | 7.68 | 0.55 | 13.52 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Number of Locked in shares (XII) |  |  |  |  |  |
| No. (a) |  |  |  |  |  |
| As a \% of total Shares held (b) |  |  |  |  |  |
| Number of Shares pledged or otherwise encumbered (XIII) |  |  |  |  |  |
| No. (a) |  |  |  |  |  |
| As a \% of total Shares held (b) |  |  |  |  |  |
| Number of equity shares held in dematerialized form (XIV) | 219010 | 155963 | 543930 | 38687 | 957590 |
| Reason for not providing PAN |  |  |  |  |  |

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| Searial No. | 1 | 2 | 3 |  |
| :---: | :---: | :---: | :---: | :---: |
| Name of the Shareholders (I) | BHAVNA BEEMAL PATEL | HITESH KUVELKAR | MUKESH RAOJIBHAI PATEL | Click here to go back |
| PAN (II) | AYDPP1099H | AISPK2510P | AABTC0387P | Total |
| No. of fully paid up equity shares held (IV) | 71149 | 103878 | 329304 | 504331 |
| No. Of Partly paidup equity shares held (V) |  |  |  |  |
| No. Of shares underlying Depository Receipts (VI) |  |  |  |  |
| Total nos. shares held $(\mathrm{VII})=(\mathrm{IV})+$ $(\mathrm{V})+(\mathrm{VI})$ | 71149 | 103878 | 329304 | 504331 |
| Shareholding as a \% of total no. of shares (calculated as per SCRR, 1957) (VIII) As a $\%$ of $(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$ | 1 | 1.47 | 4.65 | 7.12 |
| Number of Voting | ghts held in each class of secu | es (IX) |  |  |
| Class eg: X | 71149 | 103878 | 329304 | 504331 |
| Class eg:y |  |  |  |  |
| Total | 71149 | 103878 | 329304 | 504331 |
| Total as a $\%$ of Total Voting rights | 1 | 1.47 | 4.65 | 7.12 |
| No. Of Shares Underlying Outstanding convertible securities (X) |  |  |  |  |
| No. of Shares Underlying Outstanding Warrants (Xi) |  |  |  |  |
| No. Of Shares <br> Underlying <br> Outstanding convertible securities and No. Of Warrants (Xi) (a) |  |  |  |  |
| Shareholding, as a \% assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) $=(\mathrm{VII})$ $+(\mathrm{X})$ As a $\%$ of | 1 | 1.47 | 4.65 | 7.12 |


| $(\mathrm{A}+\mathrm{B}+\mathrm{C} 2)$ |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Number of Locked in shares (XII) |  |  |  |  |
| No. (a) |  |  |  |  |
| As a \% of total Shares held (b) |  |  |  |  |
| Number of equity shares held in dematerialized form (XIV) | 71149 | 103878 | 329304 | 504331 |
| Reason for not providing PAN |  |  |  |  |
| Reason for not providing PAN |  |  |  |  |

Page 16 of 16
file://C:\Users\CS\Desktop\shareholding pattern pdf.html

