



SANDU PHARMACEUTICALS LTD

Regd. Office: Plot Nos. 25, 26, 29 & 30, Pilerne Industrial Estate, Marra, Saligao, Bardez, Goa 403511.
Tel: +91 832 2407474 / 6715000, E-mail: sandupharma@sandu.in

Ref no-SPL/BSE/Corp-Sec/2017-18/442

Dated: 08th July 2017

To,
Bombay Stock Exchange Limited
Pheroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Sub: Shareholding Pattern as per Regulation 31(1) of SEBI (LODR) Regulations, 2015.

Sir,

We are sending herewith Shareholding Pattern as per Regulation 31(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended 30th June 2017.

Kindly take note of the same.

For Sandu Pharmaceuticals Limited

Pratika Shenvi Dessai
Company Secretary



AN ISO 9001:2008 CERTIFIED ORGANISATION

Corporate Office: P. B. No. 17201, Sandu Nagar, D. K. Sandu Marg, Chembur, Mumbai – 400 071.
Tel: +91 22 2528 4402 / 2206 Fax: +91 22 2528 2402 E-mail: info@sandu.in



General information about company	
Scrip code	524703
Name of the company	Sandu Pharmaceuticals Ltd.
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	10	3185690			3185690	44.99	3185690		3185690	44.99
(B)	Public	10512	3895310			3895310	55.01	3895310		3895310	55.01
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	10522	7081000			7081000	100	7081000		7081000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				44.99					3185690
(B)	Public				55.01					2870560
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					6056250

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	6	2228100			2228100	31.47	2228100		2228100	31.47
(d)	Any Other (specify)	4	957590			957590	13.52	957590		957590	13.52
Sub-Total (A)(1)		10	3185690			3185690	44.99	3185690		3185690	44.99
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		10	3185690			3185690	44.99	3185690		3185690	44.99
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	1	500			500	0.01	500		500	0.01
Sub-Total (B)(1)		1	500			500	0.01	500		500	0.01
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10377	3108094			3108094	43.89	3108094		3108094	43.89
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	8	539505			539505	7.62	539505		539505	7.62
(e)	Any Other (specify)	126	247211			247211	3.49	247211		247211	3.49
Sub-Total (B)(3)		10511	3894810			3894810	55	3894810		3894810	55

Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		10512	3895310			3895310	55.01	3895310		3895310	55.01
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		10522	7081000			7081000	100	7081000		7081000	100
Total (A+B+C)		10522	7081000			7081000	100	7081000		7081000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				31.47					2228100
(d)				13.52					957590
Sub-Total (A) (1)				44.99					3185690
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				44.99					3185690
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0.01					0
Sub-Total (B) (1)				0.01					0
(3)	Non-institutions								
(a(i))				43.89					2092844
(a(ii))				7.62					539505
(e)				3.49					238211
Sub-Total (B) (3)				55					2870560
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				55.01					2870560
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					6056250
Total (A+B+C)				100					6056250

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	BHASKAR GOVIND SANDU	UMESH B SANDU	SHUBHADA PRABHAKAR SANDU	SHASHANK BHASKAR SANDU	GEETA U SANDU	MINAL SHASHANK SANDU
PAN (II)	ABXPS2274E	ABWPS9336G	AAYP6366F	ABWPS9337H	AGPPS8792J	ABYPS5971R
No. of fully paid up equity shares held (IV)	737000	677100	10000	657900	85000	61100
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	737000	677100	10000	657900	85000	61100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	10.41	9.56	0.14	9.29	1.2	0.86
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	737000	677100	10000	657900	85000	61100
Class eg: y						
Total	737000	677100	10000	657900	85000	61100
Total as a % of Total Voting rights	10.41	9.56	0.14	9.29	1.2	0.86
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	10.41	9.56	0.14	9.29	1.2	0.86

capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)						
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	737000	677100	10000	657900	85000	61100
Reason for not providing PAN						
Reason for not providing PAN						

Individuals/Hindu undivided Family	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	2228100
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2228100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	31.47
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	2228100
Class eg:y	
Total	2228100
Total as a % of Total Voting rights	31.47
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII) +(Xi)(a) As a % of	31.47

(A+B+C2)	
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	2228100
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	NOUMURA REALTY AND CONSTRUCTIONS PRIVATE LIMITED	PHYBRICHEM ENGINEERS PRIVATE LIMITED	SANMARK REALTY AND FINANCE PRIVATE LIMITED	AKSHATH FINVEST AND PROPERTIES PRIVATE LIMITED	
PAN (II)	AAACN9120B	AAACP3898E	AABCS6077P	AABCA2004Q	Total
No. of the Shareholders (I)	1	1	1	1	4
No. of fully paid up equity shares held (IV)	219010	155963	543930	38687	957590
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	219010	155963	543930	38687	957590
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.09	2.2	7.68	0.55	13.52
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	219010	155963	543930	38687	957590
Class eg:y					
Total	219010	155963	543930	38687	957590
Total as a % of Total Voting rights	3.09	2.2	7.68	0.55	13.52
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	3.09	2.2	7.68	0.55	13.52
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	219010	155963	543930	38687	957590
Reason for not providing PAN					

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			
Searial No.	1	2	
Name of the Shareholders (I)	HITESH KUVELKAR	MUKESH RAOJIBHAI PATEL	Click here to go back
PAN (II)	AISPK2510P	AABTC0387P	Total
No. of fully paid up equity shares held (IV)	106593	208100	314693
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	106593	208100	314693
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.51	2.94	4.44
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	106593	208100	314693
Class eg:y			
Total	106593	208100	314693
Total as a % of Total Voting rights	1.51	2.94	4.44
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of	1.51	2.94	4.44

(A+B+C2)			
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	106593	208100	314693
Reason for not providing PAN			
Reason for not providing PAN			

