

**Ms Neeta H. Desai**  
BA, LLB, LLM, FCS  
Tel.: 24095104  
Mobile: 9821498216  
Mobile: 9892288254



279/18, Shantikunj, Road No. 31,  
Sion (East), Mumbai - 400 022.  
E-mail: ndassociates@rediffmail.com  
E-mail: ndassociates2128@gmail.com

The Board of Directors,  
M/s Sandu Pharmaceuticals Limited  
Plot No. 25, 26, 29 & 30,  
Pilerne Industrial Estate,  
Marra, Bardez, Goa - 403511

## CERTIFICATE UNDER CLAUSE 47 OF THE LISTING AGREEMENT

### FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER 2015

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. Sandu Pharmaceuticals Limited maintained by M/s. Sharex Dynamic (India) Private Limited pertaining to transfer of equity shares of the company for the period from 01<sup>st</sup> April 2015 to 30<sup>th</sup> September 2015 for the purpose of issuing a Certificate as per Clause 47(C) of the Listing Agreement entered into by, M/s. Sandu Pharmaceuticals Limited with BSE and ASE and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 30<sup>th</sup> September 2015

- A) Share Certificate(s) relating to the transfer of Shares received during the period from 01<sup>st</sup> April 2015 to 30<sup>th</sup> September 2015 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) The share certificates relating to the transfer of 800 shares were delayed on the grounds of seller notice and 200. The Company has received one request for renewal certificates.

For ND & ASSOCIATES

Date : 10.10.2015  
Place: Mumbai

**Neeta H. Desai**  
Company Secretary  
C. P. No. 4741

**CS Neeta H. Desai**

BA, LLB, LLM, FCS

Tel.: 24095104

Mobile: 9821498216

Mobile: 9892288254



279/18, Shantikunj, Road No. 31

Sion (East), Mumbai - 400 022

E-mail: ndassociates@rediffmail.com

E-mail: ndassociates2128@gmail.com

### RECONCILIATION OF SHARE CAPITAL AUDIT

(As per regulation 55A of the SEBI (Depositories Participants) Regulation, 1996)

1	For Quarter Ended	30 <sup>th</sup> September 2015					
2	ISIN :	INE 751D01014					
3	Face Value :	Rs. 10/- each					
4	Name of the Company	Sandu Pharmaceuticals Limited.					
5	Registered Office Address	Plot No. 25,26,29 & 30, Pilerne Industrial Estate, Marra, Bardez, Goa-403511					
6	Correspondence Address	Plot No. 25,26,29 & 30, Pilerne Industrial Estate, Marra, Bardez, Goa-403511					
7	Telephone & Fax No.	Tel:0832-2407473/74 Fax:0832-2407008					
8	Email address	corp.sec@sandu.in					
9	Names of the Stock Exchanges where the company's securities are listed:	Mumbai Stock Exchange Ahmedabad Stock Exchange					
10	Issued Capital	Number of Shares      % of Total Issued Capital					
11	Listed Capital (Exchange wise) (as per company records)	7081000      -----					
		BSE: 7081000      -----					
		ASE: 7081000      -----					
		<b>30.09.2015</b> %					
12	Held in dematerialized form in NSDL	5183629      73.20					
13	Held in dematerialized form in CDSL	849721      12.00					
14	Physical	1047650      14.80					
15	Total No. of shares (12+13+14)	<b>7081000</b> <b>100 %</b>					
16	Reasons for difference if any, between (10&11), (10&15), (11&15):	N.A					
17	Certifying the details of changes in share capital during the quarter under consideration as per Table below:						
	Particulars	No of shares/warrants	Applied / Not applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin.appr. pending for SE (Specify Names)
		----	----	----	----	----	-----
18	Register of Members is updated (Yes / No)	Yes					
	If not, updated up to which date	-----					



- 19 Reference of previous quarter with regards to excess Dematerialized shares, if any. N. A
- 20 Has the company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason for delay: N. A
- 21 Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay :

Total No. of demat requests	No. of requests	No. of shares	Reasons for delay
Confirmed after 21 days	----	-----	-----
Pending for more than 21 days	----	-----	-----

- 22 Name, Telephone & Fax No. of the Compliance Officer of the Co. Ms. Pratika Shenvi Dessai  
(Company Secretary & Compliance Officer)  
Tel:0832-2407473/74  
Fax:0832-2407008
- 23 Name, Address, Tel. & Fax No., Regn. No. of the Auditor Neeta H. Desai  
279/18, Shantikunj, Sion (E),  
Road No. 31, Mumbai - 400 022.  
C. P. No. 4741, Tel: 24095104
- 24 Appointment of common agency for share registry work (if yes (name & address)) Sharex Dynamic (India) Pvt. Ltd  
Unit No. 1, Luthra Industrial  
Premises, Safed Pool, Andheri  
Kurla Road, Andheri East,  
Mumbai- 400 072
- 25 Any other detail that the auditor may like to provide N. A.

**Note:**

The above certificate is issued based on the Register of Members, beneficiary details and other documents furnished by the Company and its Share Transfer Agents M/s. Sharex Dynamic (India) Private Limited and also the explanation given to us.

Place: Mumbai  
Date: 10.10.2015

For ND & ASSOCIATES

*Neeta H. Desai*

Neeta H. Desai  
Company Secretary  
C. P. No. 4741